

One relationship. Many resources for business owners.

Access the valuable resources and expertise of UBS

Wealth Planning, philanthropic services and trust solutions to help understand and strategize the pursuit of you and your business's goals for the people and causes you care about

Insurance solutions to help protect you and your business from unexpected events

Family advisory to help manage transitioning the business and wealth between generations

Business banking and lending, with a focus on what matters most to you, advisors can help you realize your vision when your investments and cash management resources from UBS Financial Services Inc. and liquidity solutions from UBS Bank USA work together to help you meet your goals

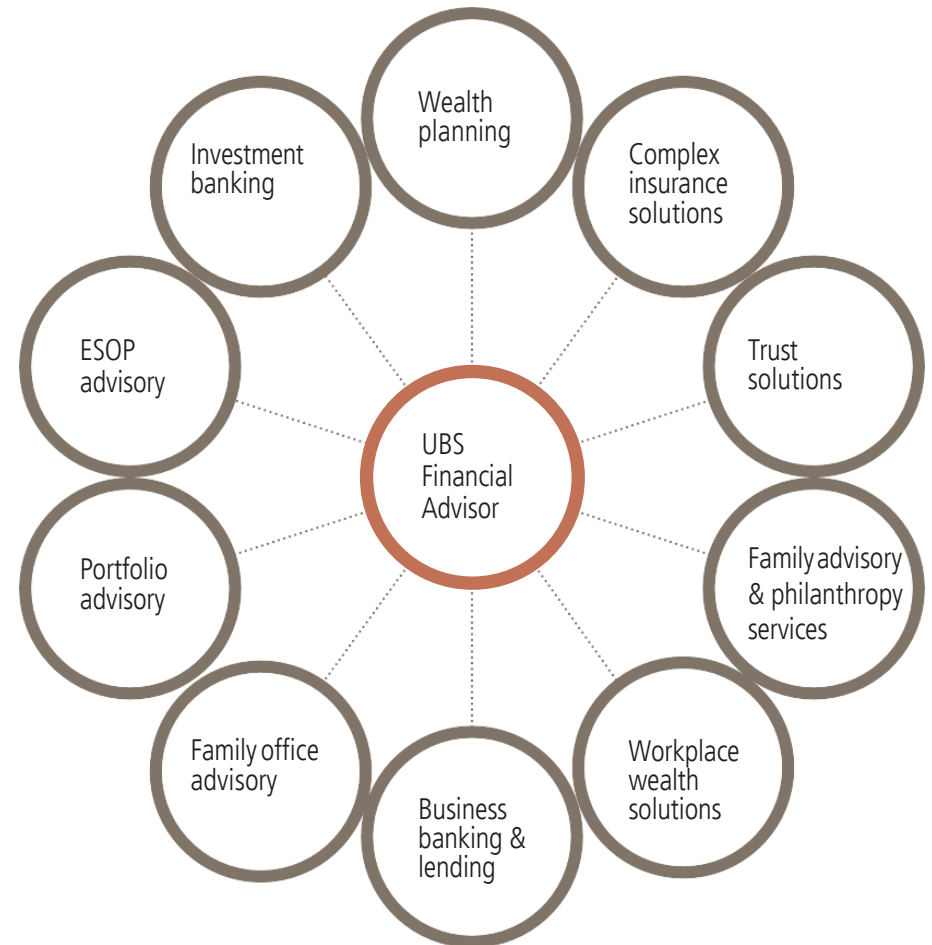
Family office services to help you access institutional capabilities across all UBS divisions

Investment banking advisors (within UBS and our external network of boutique IBs) to lead the transaction, helping you realize the full potential of your business

ESOP advisory services offers business owners a viable liquidity alternative, as opposed to typical M&A processes, for those who may wish to give back to their employees while accessing tax-favored benefits

Workplace Wealth Solutions offers comprehensive services including financial wellness, equity plan services, retirement plan services and institutional consulting

Portfolio advisory services to help create an investment strategy that aligns with your goals and objectives



Disclaimer

UBS Bank USA

Securities-backed loans, residential mortgages, credit cards and deposit products are available from UBS Bank USA – Member FDIC. NMLS no. 947868. UBS Bank USA is a subsidiary of UBS Group AG.



Borrowing using securities as collateral involves special risks, is not suitable for everyone and may not be appropriate for your needs. All loans are subject to credit approval, margin requirements and margin call and other risks; credit lines may be subject to breakage fees. For a full discussion of the risks associated with borrowing using securities as collateral, review the [Loan Disclosure Statement](#) included in your application package/account opening package.

Institutional investment banking services are provided by UBS Securities LLC, a subsidiary of UBS Group AG. Member FINRA/SIPC.

Insurance products are made available by UBS Financial Services Insurance Agency Inc. and by other insurance licensed subsidiaries of UBS Financial Services Inc. through third-party insurance companies unaffiliated with UBS Financial Services Inc.

UBS Financial Services Inc. does not provide legal or tax advice and this does not constitute such advice. UBS strongly recommends that persons obtain appropriate independent legal, tax, and other professional advice.

About UBS Financial Services Inc.

UBS Resource Management Accounts (RMA) and UBS Business Services Account BSAs are offered by UBS Financial Services Inc. Cash management services associated with these accounts are provided by affiliated and third-party banks.

Important information about brokerage and advisory services. As a firm providing wealth management services to clients, UBS Financial Services Inc. offers investment advisory services in its capacity as an SEC-registered investment adviser and brokerage services in its capacity as an SEC-registered broker-dealer. Investment advisory services and brokerage services are separate and distinct, differ in material ways and are governed by different laws and separate arrangements. It is important that you understand the ways in which we conduct business and that you carefully read the agreements and disclosures that we provide about the products or services we offer. For more information, please review client relationship summary provided at ubs.com/relationshipsummary.

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